



**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Applicants: Vargas, Jaime S.; et. al.  
Assignee: Cardica, Inc.  
Title: Integrated Anastomosis System  
Serial No.: 10/057,795 Filing Date: January 23, 2002  
Examiner: Gary Jackson Group Art Unit: 3731  
Docket No.: 101

**INFORMATION DISCLOSURE STATEMENT**

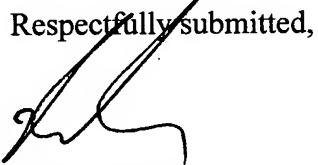
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

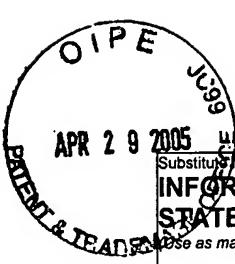
In compliance with the Applicant's duty under 37 CFR § 1.56, the information listed on the accompanying form PTO-1449 is brought to the attention of the Examiner. In accordance with 37 CFR § 1.98 (d) and MPEP 609(I)(A)(2), copies of references listed on the form PTO-1449 that have been submitted in the parent application are not provided.

The fee for submission of this IDS after the first Office Action is enclosed.

Respectfully submitted,

  
Brian A. Schar  
Attorney for Cardica, Inc.  
Reg. No. 45,076  
900 Saginaw Drive  
Redwood City, CA 94063

05/03/2005 EFLORES 00000057 10057795  
01 FC:1806 180.00 DA



Substitute for form 1449A/PTO  
**INFORMATION DISCLOSURE  
 STATEMENT BY APPLICANT**  
*(use as many sheets as necessary)*

Sheet 1 of 1

Complete if Known

<b>Application Number</b>	10/057,795
<b>Filing Date</b>	January 23, 2002
<b>First Named Inventor</b>	Vargas, Jaime
<b>Group Art Unit</b>	3731
<b>Examiner Name</b>	Jackson, Gary

Attorney Docket No: 101

**US PATENT DOCUMENTS**

Examiner Initial *	USP Document Number	Publication Date	Name of Patentee or Applicant of cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
	US-2004/0073247 A1	04/27/2004	Loshakove	
	US-5,423,796	06/13/1995	Shikhman, et al.	
	US-5,423,846	06/13/1995	Fischell	
	US-5,591,187	01/07/1997	Dekel	
	US-6,190,392	02/20/2001	Vandewalle	
	US-6,488,693	12/03/2002	Ganno, et al.	
	US-6,673,088	01/06/2004	Vargas, et al.	
	US-6,685,630	02/03/2004	Sauer, et al.	
	US-6,689,147	02/10/2004	Koster Jr.	

**FOREIGN PATENT DOCUMENTS**

Examiner Initials*	Foreign Document No	Publication Date	Name of Patentee or Applicant of cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T <sup>2</sup>
	WO-00/76405	12/21/2000			

**OTHER DOCUMENTS -- NON PATENT LITERATURE DOCUMENTS**

Examiner Initials*	Cite No <sup>1</sup>	Include name of the author (In CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T <sup>2</sup>
		"Cardica PAS-Port Proximal Anastomosis System 510(k)", <u>Section VI.C.</u> , "Substantial Equivalence", and Attachment 7, (Unpublished),	
		Sales training brochure entitled "CorLink Automated Anastomosis Device" (2002)	

EXAMINER

DATE CONSIDERED

Substitute Disclosure Statement Form (PTO-1449)

\* EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. 1 Applicant's unique citation designation number (optional) 2 Applicant is to place a check mark here if English language Translation is attached



5-2-05

Docket No.: 101

April 29, 2005

Commissioner For Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Re: Applicant(s): Vargas, Jaime S.; et. al.  
Assignee: Cardica, Inc.  
Title: Integrated Anastomosis System  
Serial No.: 10/057,795  
Examiner: Gary Jackson  
Docket No.: 101

Filed: January 23, 2002  
Group Art Unit: 3731

Dear Sir:

Transmitted herewith are the following documents in the above-identified application:

- (1) This Transmittal Letter;
- (2) Information Disclosure Statement;
- (3) Form PTO-1449 and 3 references; and
- (4) Return postcard.

Please charge Deposit Account 502108 the amount of \$180.00 for submission of an IDS after receipt of the first Office Action. In addition, please charge Deposit Account 502108 for any additional fees required, and credit deposit account 502108 any amounts overpaid.

EXPRESS MAIL SERIAL NO.:  
EV430389877US

Respectfully submitted,

  
Brian A. Schar, Esq.  
Reg. No. 45,076  
Cardica, Inc.  
900 Saginaw Drive  
Redwood City, CA 94063

05/03/2005 EFLORES 00000057 502108 10057795  
01 FC:1808 180.00 DA